

National United University 2021-22 Academic Year

Undergraduate Program of the Department of Finance

Required and Elective Course List

| Course Category                   | Course Code                                  | Course Name  | Course Credit | Hours | Academic Year and Semester of the Course  | Course Provider                               |
|-----------------------------------|--|--|---------------|-------|---|---|
| Required Course of the University | Must complete 16 credit hours and 14 courses |  |               |       |   |   |
|                                   | DGGC0058                                     | General Education –<br>Foundation – Language Course<br>FRESHMAN ENGLISH(1)             | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year | Language Center                               |
|                                   | DGGC0733                                     | General Education –<br>Foundation – PE Course<br>PHYSICAL EDUCATION (PHYSICAL FITNESS) | 0             | 2     | 1 <sup>st</sup> Semester of Freshman Year | Center for General Education                  |
|                                   | DGGC1931                                     | General Education –<br>Foundation – Language Course<br>CHINESE (1)                     | 2             | 2     | 1 <sup>st</sup> Semester of Freshman Year | Department of Chinese Language and Literature |
|                                   | DGGC1708                                     | General Education and Practice Course<br>LABOR EDUCATION (1)                           | 0             | 1     | 1 <sup>st</sup> Semester of Freshman Year | Discipline Section, Office of Student Affairs |
|                                   | DGGC1886                                     | General Education –<br>Foundation – Characteristic Course<br>NAVITING COLLEGE          | 1             | 1     | 1 <sup>st</sup> Semester of Freshman Year | Center for General Education                  |
|                                   | DGGC1887                                     | General Education –<br>Foundation – Characteristic Course<br>SELF-DIRECTED LEARNING    | 1             | 1     | 1 <sup>st</sup> Semester of Freshman Year | Center for General Education                  |
|                                   | DGGC0304                                     | General Education –<br>Foundation – Language Course<br>FRESHMAN ENGLISH (2)            | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year | Language Center                               |

| Course Category       | Course Code                                  | Course Name   | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider                               |
|-----------------------|--|---|---------------|-------|--|---|
|                       | DGGC0732                                     | General Education – Foundation – PE Course<br>PHYSICAL EDUCATION (SWIMMING FOR BEGINNERS) | 0             | 2     | 2 <sup>nd</sup> Semester of Freshman Year  | Center for General Education                  |
|                       | DGGC1932                                     | General Education – Foundation – Language Course<br>CHINESE (2)                           | 2             | 2     | 2 <sup>nd</sup> Semester of Freshman Year  | Department of Chinese Language and Literature |
|                       | DGGC1709                                     | General Education and Practice Course<br>LABOR EDUCATION (2)                              | 0             | 1     | 2 <sup>nd</sup> Semester of Freshman Year  | Discipline Section, Office of Student Affairs |
|                       | DGGC0168                                     | General Education – Foundation – PE Course<br>PHYSICAL EDUCATION (3)                      | 0             | 2     | 1 <sup>st</sup> Semester of Sophomore Year | Center for General Education                  |
|                       | DGGC0293                                     | General Education – Foundation – Basic Literacy<br>HISTORY AND THOUGHT                    | 2             | 2     | 1 <sup>st</sup> Semester of Sophomore Year | Center for General Education                  |
|                       | DGGC0170                                     | General Education – Foundation – PE Course<br>PHYSICAL EDUCATION (4)                      | 0             | 2     | 2 <sup>nd</sup> Semester of Sophomore Year | Center for General Education                  |
|                       | DGGC0799                                     | General Education – Foundation – Basic Literacy<br>DEMOCRACY AND LAW                      | 2             | 2     | 2 <sup>nd</sup> Semester of Sophomore Year | Center for General Education                  |
| Required              | Must complete 22 credit hours and 10 courses |   |               |       |  |   |
| Course of the College | DMM00001                                     | ECONOMICS (1)   | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year  | College of Management                         |
|                       | DMM00002                                     | ACCOUNTING (1)  | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year  | College of Management                         |
|                       | DMM00005                                     | MANAGEMENT  | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year  | College of Management                         |

| Course Category                   | Course Code                                  | Course Name                           | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider       |
|-----------------------------------|--|---------------------------------------|---------------|-------|--|-----------------------|
|                                   | DMM00013                                     | COMMERCIAL LAW                        | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year  | College of Management |
|                                   | DMM00003                                     | STATISTICS (1)                        | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | College of Management |
|                                   | DMM00007                                     | ENGLISH FOR BUSINESS PRACTICE (1)     | 1             | 2     | 1 <sup>st</sup> Semester of Sophomore Year | College of Management |
|                                   | DMM00004                                     | STATISTICS (2)                        | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | College of Management |
|                                   | DMM00008                                     | ENGLISH FOR BUSINESS PRACTICE (2)     | 1             | 2     | 2 <sup>nd</sup> Semester of Sophomore Year | College of Management |
|                                   | DMM00022                                     | TOEIC ENGLISH                         | 1             | 2     | 1 <sup>st</sup> Semester of Junior Year    | College of Management |
|                                   | DMM00021                                     | ENGLISH WRITING FOR BUSINESS PRACTICE | 1             | 2     | 2 <sup>nd</sup> Semester of Junior Year    | College of Management |
| Required Course of the Department | Must complete 46 credit hours and 16 courses |                                       |               |       |  |                       |
|                                   | DMFI0103                                     | CALCULUS (1)                          | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0244                                     | INTRODUCTION TO COMPUTER SCIENCE      | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0104                                     | CALCULUS (2)                          | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0108                                     | ECONOMICS (2)                         | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0170                                     | ACCOUNTING (2)                        | 3             | 3     | 2 <sup>nd</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0060                                     | MONEY AND BANKING                     | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0062                                     | MICROECONOMICS                        | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0112                                     | FINANCIAL MANAGEMENT (1)              | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0113                                     | FINANCIAL MANAGEMENT (2)              | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0225                                     | QUANTITATIVE METHODS IN FINANCE       | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | Department of Finance |

| Course Category                   | Course Code   | Course Name                              | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider       |
|-----------------------------------|---|--|---------------|-------|--|-----------------------|
|                                   | DMFI0061  | INSURANCE                                | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                                   | DMFI0073  | INVESTMENTS                              | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                                   | DMFI0051  | FUTURES AND OPTIONS                      | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                                   | DMFI0072  | FINANCIAL STATEMENT ANALYSIS             | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                                   | DMFI0210  | SPECIAL TOPICS ON FINANCIAL PRACTICE (1) | 2             | 4     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                                   | DMFI0211  | SPECIAL TOPICS ON FINANCIAL PRACTICE (2) | 2             | 4     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
| Elective Course of the College    | Must complete at least 12 credit hours. See the third Point of Graduation Requirements. |  |               |       |  |                       |
|                                   | DMM00019  | BUSINESS PRACTICE (1)                    | 3             | 12    | 1 <sup>st</sup> Semester of Senior Year    | College of Management |
|                                   | DMM00023  | MANAGEMENT MICROCREDIT COURSES (1)       | 2             | 2     | 1 <sup>st</sup> Semester of Senior Year    | College of Management |
|                                   | DMM00020  | BUSINESS PRACTICE (2)                    | 3             | 12    | 2 <sup>st</sup> Semester of Senior Year    | College of Management |
|                                   | DMM00024  | MANAGEMENT MICROCREDIT COURSES (2)       | 2             | 2     | 2 <sup>st</sup> Semester of Senior Year    | College of Management |
| Elective Course of the Department | Must complete at least 18 credit hours  |  |               |       |  |                       |
|                                   | DMFI0081  | INTRODUCTION TO CIVIL LAW                | 3             | 3     | 1 <sup>st</sup> Semester of Freshman Year  | Department of Finance |
|                                   | DMFI0164  | INTERMEDIATE ACCOUNTING (1)              | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0216  | FINANCIAL INSTITUTIONS AND MARKET        | 3             | 3     | 1 <sup>st</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0063  | MACROECONOMICS                           | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | Department of Finance |
|                                   | DMFI0131  | FOREIGN EXCHANGE:THEORY AND PRACTICE     | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | Department of Finance |

| Course Category | Course Code | Course Name                                     | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider       |
|-----------------|-------------|---|---------------|-------|--|-----------------------|
|                 | DMFI0165    | INTERMEDIATE ACCOUNTING (2)                     | 3             | 3     | 2 <sup>nd</sup> Semester of Sophomore Year | Department of Finance |
|                 | DMFI0075    | PROBABILITY AND STATISTICAL INFERENCE (1)       | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0076    | INTERNATIONAL FINANCIAL MARKETS                 | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0186    | REGULATIONS OF SECURITIES AND FINANCIAL MARKETS | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0251    | FINANCIAL SOFTWARE APPLICATIONS AND PROGRAMMING | 3             | 3     | 1 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0134    | PROBABILITY AND STATISTICAL INFERENCE (2)       | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0136    | PORTFOLIO MANAGEMENT                            | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0171    | BOND MARKETS                                    | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0182    | ENTREPRENEURIAL FINANCE                         | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0217    | COST AND MANAGERIAL ACCOUNTING                  | 3             | 3     | 2 <sup>st</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0226    | FINANCIAL RISK MANAGEMENT                       | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0229    | LIFE INSURANCE PRACTICE                         | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0248    | SEMINAR ON FINANCIAL INSTITUTIONS MANAGEMENT    | 3             | 3     | 2 <sup>nd</sup> Semester of Junior Year    | Department of Finance |
|                 | DMFI0122    | INTERNATIONAL FINANCIAL MANAGEMENT              | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |

| Course Category                  | Course Code   | Course Name  | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider       |
|----------------------------------|---|--|---------------|-------|--|-----------------------|
|                                  | DMFI0142  | ECONOMETRICS                                       | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0189  | TAX AND PROPERTY-TRANSFER PLANNING                 | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0223  | CORPORATE GOVERNANCE                               | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0230  | NON-LIFE INSURANCE PRACTICE                        | 3             | 3     | 1 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0239  | PRACTICAL TRAINING (1)                             | 3             | 12    | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0243  | DATA ANALYSIS                                      | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0252  | INVESTMENT ANALYSIS AND PROGRAMMED STOCK SCREENING | 3             | 3     | 1 <sup>st</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0137  | FUND MANAGEMENT                                    | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0147  | MERGER AND ACQUISITIONS                            | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0149  | CASE STUDY IN FINANCE                              | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0151  | PRIVATE BANKING PRACTICES                          | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0228  | FINANCIAL ENGINEERING AND INNOVATION               | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0240  | PRACTICAL TRAINING (2)                             | 3             | 12    | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0242  | INDUSTRIAL AND ECONOMIC ANALYSIS                   | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
|                                  | DMFI0247  | FINTECH  | 3             | 3     | 2 <sup>nd</sup> Semester of Senior Year    | Department of Finance |
| General Education – Liberal Arts | * See the General Education courses explanation for the number of required credit hours |  |               |       |  |                       |
|                                  | DGGC1712  | Core Courses- Ethics, Philosophy,                  | 2             | 2     | 1 <sup>st</sup> Semester of Sophomore Year | Center for General    |

| Course Category | Course Code                           | Course Name  | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider              |
|-----------------|---------------------------------------|--|---------------|-------|--|------------------------------|
| Category        |                                       | and Religion   |               |       |  | Education                    |
|                 | DGGC1713                              | Core Courses- Humanities, Art, and Aesthetics                              | 2             | 2     | 2 <sup>nd</sup> Semester of Sophomore Year | Center for General Education |
|                 | DGGC1711                              | Core Courses- Natural Science and Applied Technology                       | 2             | 2     | 1 <sup>st</sup> Semester of Junior Year    | Center for General Education |
|                 | DGGC1714                              | Core Courses- Media and Information  | 2             | 2     | 2 <sup>nd</sup> Semester of Junior Year    | Center for General Education |
|                 | DGGC1715                              | Core Courses- Social Studies, Law and Economics                            | 2             | 2     | 1 <sup>st</sup> Semester of Senior Year    | Center for General Education |
|                 | DGGC1840                              | Liberal Arts Elective Courses (1)  | 2             | 2     | 2 <sup>nd</sup> Semester of Senior Year    | Center for General Education |
|                 | DGGC1841                              | Liberal Arts Elective Courses (2)  | 2             | 2     | 2 <sup>nd</sup> Semester of Senior Year    | Center for General Education |
| Elective Course | Must complete at least 0 credit hours |  |               |       |  |                              |
|                 | DGGP0025                              | ALL-OUT DEFENSE EDUCATION<br>MILITARY TRAINING - INTERNATIONAL SITUATIONS  | 2             | 2     | 1 <sup>st</sup> Semester of Freshman Year  | Military Education Office    |
|                 | DGGP0026                              | ALL-OUT DEFENSE EDUCATION<br>MILITARY TRAINING - NATIONAL DEFENSE POLICIES | 2             | 2     | 2 <sup>nd</sup> Semester of Freshman Year  | Military Education Office    |
|                 | DGGP0027                              | ALL-OUT DEFENSE EDUCATION<br>MILITARY TRAINING - CIVIL DEFENSE             | 2             | 2     | 1 <sup>st</sup> Semester of Sophomore Year | Military Education Office    |
|                 | DGGP0028                              | ALL-OUT DEFENSE EDUCATION<br>MILITARY TRAINING - DEFENSE                   | 2             | 2     | 2 <sup>nd</sup> Semester of Sophomore Year | Military Education Office    |

| Course Category | Course Code | Course Name   | Course Credit | Hours | Academic Year and Semester of the Course   | Course Provider                 |
|-----------------|-------------|---|---------------|-------|--|---------------------------------|
|                 |             | MOBILIZATION  |               |       |  |                                 |
|                 | DGGP0029    | ALL-OUT DEFENSE<br>EDUCATION<br>MILITARY<br>TRAINING -<br>DEFENSE<br>TECHNOLOGY | 2             | 2     | 1 <sup>st</sup> Semester of<br>Junior Year | Military<br>Education<br>Office |



|                                    |             |            |
|------------------------------------|-------------|------------|
| Graduation Credit Hours            | 132 credits |            |
| Required Course of the University  | 18 credits  | 13 courses |
| Required Course of the College     | 22 credits  | 10 courses |
| Required Course of the Department  | 46 credits  | 16 courses |
| Elective Courses of the University | 0 credits   |            |
| Elective Courses of the College    | 12 credits  |            |
| Elective Courses of the Department | 18 credits  |            |
| Liberal Arts Core Courses          | 6 credits * |            |
| Liberal Arts Elective Courses      | 4 credits * |            |
| Inter-college                      | 0 credits   |            |
| Inter-department                   | 0 credits   |            |
| Remaining Credit Hours             | 6 credits   |            |
| Elective Course                    | 0 credits   |            |

※ Graduation Requirements:

1. According to Article 68 of NUU Academic Regulations, students must pass the English Proficiency Test.
2. The graduation credit hours count at most 2 credit hours of the military training courses.
3. The 12 credit hours of the college elective courses refer to the courses taken from the College of Management or any departments of the College of Management (including the major).
4. Students must pass the professional thresholds required by the department.
5. According to the "National United University Student Academic Ethics Education Curriculum Implementation Essentials," students must complete the academic research ethics education course.
6. The self-directed learning: students must participate in 12 lectures or activities conducted by any school unit before graduation, and obtain one credit hour through completing the 12 lectures or activities' certification.

\*General Education- Liberal Arts Classification Courses, elective 10 credits hours:

1. Core Courses: Include five categories such as “Natural Science and Applied Technology,” “Ethics, Philosophy, and Religion,” “Humanities, Art, and Aesthetics,” “Media and Information,” and “Social Studies, Law and Economics.” Students should take 6 credit hours from these categories, but at most, one course from each category can be taken into account.
2. Liberal Arts Elective Courses (1), Liberal Arts Elective Courses (2): Other general elective courses not included in the core courses should take 4 credit hours. The core courses over 6 credit hours can be counted for the Liberal arts Elective Courses (1), (2).